

NP-107-DIV



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RICHARD H. GOODWIN, JR.

Serial No.: 10/765,053

Filed: January 28, 2004

For: CRYSTAL FORMING
APPARATUS AND METHOD FOR
USING THE SAME

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22102-4859

Sir:

Applicant wishes to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449.

A copy of each reference is not required Under 37 C.F.R. § 1.98(d) because the references were previously submitted or cited in U.S. Application Number 10/345,217, that this application relies on for an earlier effective filing date under 35. U.S.C. § 120. The references cited in U.S. Application Number 10/345,217, also complies with paragraphs (a) through (c) of 37 C.F.R. § 1.98.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Accordingly, Applicant does not believe that a fee is due for filing this paper. However, should a

first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. NP-107-DIV and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

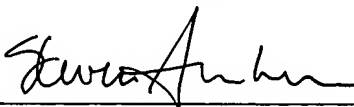
SHAW PITTMAN LLP
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Date: April 29, 2004

Respectfully submitted,

RICHARD H. GOODWIN, JR.

By:



Steven P. Arnheim
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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/765,053		
		Filing Date	1/28/04		
		First Named Inventor	Goodwin, R.		
		Art Unit			
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	NP-107-DIV

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code ² (if known)			
		US-5,284,753	2/8/94	Goodwin, Jr.	
		US-5,221,410	6/22/93	Kushner et al.	
		US-5,096,676	3/17/92	McPherson et al.	
		US-4,886,646	12/12/89	Carter et al.	
		US-4,822,741	4/18/89	Banes	
		US-4,770,856	9/13/88	Uthemann et al.	
		US-4,682,891	7/28/87	De Marcario et al.	
		US-4,599,315	7/8/86	Terasaki et al.	
		US-4,599,314	7/8/86	Shami	
		US-4,299,921	11/10/81	Youseff	
		US-4,012,288	3/15/77	Lyman et al.	
		US-3,165,450	1/12/65	Scheidt	
		US-3,107,204	10/15/63	Brown et al.	
		US-3,055,808	9/25/62	Henderson	
		US-2,561,339	7/24/51	Chediak	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
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Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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